

## Personal Data Privacy Policy

Patrons Securities Limited hopes to provide clients with the best service. To achieve this goal, one of the ways is to provide customers with the best service and the most convenient way through understanding their background and needs, so that they can obtain the most suitable products and treatment. We also understand that clients are very concerned about the use of their personal information. The protection of customer information is something we have been dealing with seriously. Therefore,

Patrons Securities Limited has established the following codes, which are committed to maintaining the confidentiality of customer information:

#At any time, clients in their personal name are required to provide personal data("data") to Patrons Securities Limited for purposes under the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong).

- Daily operation of services and facilities provided to clients;
- Conduct credit checks;
- Assist other institutions in credit review;
- Ensure that the customer's credit is maintained well;
- Design financial services or related products for clients to use;
- Promote financial services and related products to clients;
- Determine the amount of debt owed by clients or clients in arrears;
- Comply with the disclosure requirements in accordance with any laws or regulations; and
- Any use related to any of the foregoing.

#The information (and other information) of the customer held by Patrons Securities Limited will be kept confidential, but the information (and other information) of the Patrons Securities Limited can be disclosed to the following persons, and the customer agrees to disclose all the information (and other information) (Information) is also a condition for Patrons (Securities) to provide services, products and information to clients:

- Any financial institution with which the customer has or intends to trade;
- Regulatory authorities and other relevant government agencies;
- Any other person who is obliged to keep the confidentiality of Patrons Securities Limited, including companies within Patrons Securities Limited that promise to keep such information confidential.
- The use of customer data between companies within Patrons Securities Limited must be based on strict internal security standards, confidentiality policies and applicable laws.
- We restrict our employees to fully comply with these standards, policies and laws.

- Except for conducting business, complying with applicable laws, protecting against fraud, or making product and service offers that we believe may be in the interests of clients, we will not distribute information about clients to other companies. We may also provide information to regulatory authorities and law enforcement officials in accordance with applicable laws.
- We have set extremely high standards to protect customer information from unauthorized changes or destruction.

Patrons Securities Limited may conduct the following activities in accordance with the Personal Data (Privacy) Ordinance and other applicable laws:

#Match, compare or exchange any information or other information provided by the customer or related to the customer with the information (or other information) held by Patrons Securities Limited or any other person for the following purposes:

- Credit review;
- Data (or other data) verification;
- Or, to submit or check information (or other information), which may be used to take actions against the customer or any other person at any time;

#Transfer this information (or other information) to any place outside Hong Kong (regardless of processing, holding or using such information (or other information) outside Hong Kong). According to and in accordance with the terms of the Personal Data (Privacy) Ordinance, every customer has the right to:

#Check whether Patrons Securities Limited holds information about clients and whether it has the right to use such information;

#Request Patrons Securities Limited to correct any wrong information about the customer; and

#Determine the policies and practices of Patrons Securities Limited regarding information, and learn about the types of personal data held by Patrons Securities Limited about clients. Requests for access to and/or correction of any information submitted by the client shall be sent to the Securities Compliance Department of Patrons securities Limited. Pursuant to the terms of the Personal Data (Privacy) Ordinance, Patrons Securities Limited reserves the right to charge a reasonable fee for processing any data request and access application.

At Patrons Securities Limited, information about clients will only be used for the legitimate purposes of conducting their own business, to provide quality services, and for product design and discounts, so as to show that we understand clients and their needs.

As we will further develop new products and services in the era of new technology, we will continue to make every effort to ensure that customer information is used correctly and properly protected.

If you have any enquiries about this statement, you are welcome to contact our Information Protection Officer in writing:

**PATRONS SECURITIES LIMITED**

**Unit 3214, 32/F, Cosco Tower, 183 Queen's Road Central, Sheung Wan, Hong Kong**

**Email : enquiry@patronssecurities.com**

**Attention: Information Protection Officer**